

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

9319S-000178

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/763582

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP00/04075	21 June 2000 (21.06.00)	24 June 1999 (24.06.99)
TITLE OF INVENTION SUBSTRATE FOR LIQUID CRYSTAL DEVICE AND METHOD FOR FABRICATING THE SAME, LIQUID CRYSTAL DEVICE AND METHOD FOR FABRICATING THE SAME, AND ELECTRONIC APPARATUS		
APPLICANT(S) FOR DO/EO/US Eiji OKAMOTO, Takumi SEKI, Keiji TAKIZAWA and Hideaki NAONO		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto (required only if not communicated by the International Bureau).
 - b. has been communicated by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is attached hereto.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are attached hereto (required only if not communicated by the International Bureau).
 - b. have been communicated by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
14. A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information:

Form HDP-1449 with copies of cited references; International Search Report; English translation of International Search Report; 14 sheets of drawings showing FIGS. 1-16C, Express Mail Transmittal and Express Mailing Label #EL 684 107 328 US.

37 CFR APPLICATION NO. (if known - see 37 CFR 1.15)

INTERNATIONAL APPLICATION NO.

09/765582 PCT/JP00/04075

ATTORNEY'S DOCKET NUMBER
9319S-00017821. The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
 and International Search Report not prepared by the EPO or JPO \$1000.00

International preliminary examination fee (37 CFR 1.482) not paid to
 USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
 but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
 but all claims did not satisfy provisions of PCT Article 33(l)-(4) \$690.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
 and all claims satisfied provisions of PCT Article 33(l)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
Total claims	23 -20 =	3	x \$18.00	\$ 54.00
Independent claims	2 -3 =	0	x \$80.00	\$ 0.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$ 0.00

TOTAL OF ABOVE CALCULATIONS = \$ 914.00

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.	+	\$ 0.00
SUBTOTAL =	\$	914.00

Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	\$ 0.00
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TOTAL NATIONAL FEE =	\$ 914.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	\$ 40.00

TOTAL FEES ENCLOSED =	\$ 954.00
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	Amount to be refunded:	\$
	charged:	\$

- a. A check in the amount of \$ 954.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

G. Gregory Schivley
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SIGNATURE

G. Gregory Schivley

NAME

27,382

REGISTRATION NUMBER



02-23-01

096763582

528-US C'd PCT/PTO 22 FEB 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail No.: EL 684-107-328-US

Date of Deposit: February 22, 2001

Application No.: Not Yet Assigned

Filing Date: Herewith

Applicants: Eiji OKAMOTO, et al.

Group Art Unit: Unknown

Examiner: Unknown

Title: SUBSTRATE FOR LIQUID CRYSTAL DEVICE AND
METHOD FOR FABRICATING THE SAME, LIQUID
CRYSTAL DEVICE AND METHOD FOR FABRICATING
THE SAME, AND ELECTRONIC APPARATUS

Attorney Docket: 9319S-000178

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231EXPRESS MAIL TRANSMITTALThe following papers are being deposited with the United States Postal Service Express
Mail Post Office To Addressee and addressed for receipt by the United States Patent
and Trademark Office:

1. Check in the amount of \$954.00
2. Transmittal letter (in duplicate)
3. 55-page Patent Application
4. 14 Sheets of Drawings showing FIGS. 1-16C
5. Executed Declaration/Power of Attorney
6. Information Disclosure Statement
7. Form HDP-1449 with copies of cited references (6 JP and 1 PCT)
8. Recordation Form Cover Sheet (in duplicate)
9. Executed Assignment
10. 3-page Preliminary Amendment
11. International Search Report
12. English translation of International Search Report

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Signature